

黄土地震滑坡研究综述与展望

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黄土地震滑坡研究综述与展望

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摘要: 黄土地区地貌形态复杂, 地震频发, 地震滑坡灾害严重。黄土地震滑坡受多种因素影响, 包括黄土边坡地形地貌、地层岩性、动力响应, 黄土强度和动力特性, 水文地质条件等。目前, 黄土地震滑坡研究主要采用室内试验、物理与数值模型试验、野外调研、遥感与监测等手段, 研究内容包括黄土地震滑坡成因机理、发育特征与分布、滑坡动力响应和稳定性等方面。文章阐述了黄土地震滑坡国内外研究现状, 介绍了一种考虑地震波动特性的拟动力评价方法, 并对基于拟动力法开展黄土地震滑坡研究进行了展望。通过分析黄土地震滑坡力学成因机制、研究黄土滑坡地震液化现象、讨论黄土地震滑坡失稳特征, 提出能够精确评价黄土地震滑坡稳定性的计算方法, 可以为黄土地区防震减灾提供理论依据, 也是今后研究的重点。

关键词: 拟动力法; 黄土; 地震; 滑坡; 边坡

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A review of earthquake-induced loess landslides research and future prospects

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Abstract: The loess region is characterized by complex geomorphological patterns. This region is prone to frequent earthquakes with serious seismic landslide disasters. Loess seismic landslides are affected by a variety of factors, including the topography and geomorphology of loess slopes, stratigraphic lithology, dynamic responses, strength and dynamic characteristics of loess, and hydrogeological conditions. Current research on loess seismic landslides primarily involves laboratory experiments, physical and numerical simulations, field investigations, and remote sensing and monitoring techniques. The research focuses on the mechanisms, development characteristics, distribution, dynamic responses, and stability of loess seismic landslides. This paper reviews the current state of both domestic and international research on loess seismic landslides, introduces the pseudo-dynamic method that considers seismic wave propagation characteristics, and outlines future research prospects based on this method. By analyzing the mechanics mechanisms of loess seismic landslide, investigating the seismic liquefaction phenomena of loess landslides, and discussing the instability characteristics of these landslides, this study proposes a calculation method to

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accurately evaluate the stability of loess seismic landslides. This research can provide a theoretical basis for earthquake disaster prevention and mitigation in loess areas, and it represents a key focus for future studies.

Keywords: pseudo-dynamic method; loess; earthquake; landslide; slope

0 引言

我国是世界上滑坡地质灾害最为发育的国家之一,国内每年发生的滑坡地质灾害约占全国地质灾害总量的七成^[1]。其中,地震是触发滑坡的重要因素^[2]。2008年5月12日14时28分,四川省汶川县映秀镇发生里氏8.0级强烈地震^[3],共造成69 227人遇难,17 923人失踪,受伤人数达37.46万人^[4]。经调查,汶川大地震共触发15 000余处山体滑坡、崩塌、泥石流,估计直接造成2万人死亡^[5]。当特大地震袭来时,除保证建筑物稳定外,也理应保证重点斜坡的稳定性。

接近地球表面的岩层中弹性波传播所引起的震动称为地震,按其成因类型可分为构造地震、火山地震和陷落地震^[6]。历史上造成严重灾难性后果的地震事件往往属于构造地震^[7-11]。我国地处欧亚板块东部,与太平洋板块及印度洋板块接壤^[12-14],欧亚地震带和环太平洋地震带均与我国紧密相关。我国内部又可细分为9个一级构造单元和56个二级构造单元^[13],剧烈的构造活动使得我国地震频发。纵观人类历史,第一次有史料记载的强震发生于公元前780年^[15],位于我国宝鸡。1556年发生的华县地震,死亡人数超过83万,民房、官署、庙宇、书院荡为废墟,是全球历史上记录死亡人数最为严重的一次地震^[16]。

我国地貌形态复杂多变,强地震引发的次生灾害严重,特别是我国西南山区及西北地区,沟壑纵横、自然边坡随处可见。随着我国一系列重大战略计划的实施和经济的发展,加大了对地质环境的扰动,使得原本稳定的边坡安全性降低。当强地震发生后,往往会诱发大量的山体滑坡。因此,研究地震边坡稳定性对保障国民经济稳定健康发展、人民安居乐业具有重要意义。

1 黄土地震滑坡研究的兴起和重要意义

我国具有全世界分布面积最广、厚度最大、成因类型最复杂的黄土沉积区域^[17]。包括黄土类土在内,中国黄土分布面积可达 $64 \times 10^4 \text{ km}^2$ 。主要分布于黄河中上游的陕西、甘肃、宁夏、山西、青海、河南等省份,我国东北、河北、山东、内蒙古和新疆等地也零星分布^[18-19]。黄土高原是我国黄土主要分布区域,属于风成沉积,沉积厚度大。第四纪以来形成的风积黄土覆盖于地表欠

压密的应力历史,形成了黄土特殊的支架孔隙结构^[20]。在遇水后黄土表现出强水敏性,骨架结构遭受破坏。颗粒发生偏转、滑移和重组^[21],表现出强度降低、变形增大的性质。

黄土高原地处干旱大陆性季风地区,降水稀少且较为集中,植被稀疏,地表土易受流水裹挟冲刷。在长期水流作用下,逐渐形成目前千沟万壑、地貌复杂的黄土高原(图1),具有黄土塬、黄土梁、黄土峁和河谷阶地等多种地貌形态。并且随着人类工程活动在黄土高原地区不断增加,形成了形状各异的人工边坡。这些边坡除少数敏感斜坡外,在正常条件下基本都是稳定的。然而在降雨、地震等极端环境下^[22-25],容易滑动造成灾害。截至2022年,黄土地区(及其周边)共记录到6级以上破坏性地震54次(张振中^[17]、中国台网正式地震目录)。其中7级以上强震共22次,8级以上破坏性地震共6次。



图1 黄土高原梁、峁、丘陵地貌^[20]
Fig. 1 The loess ridges, hillocks, and hill landscapes of the loess plateau^[20]

关于我国黄土地震滑坡的研究可追溯至20世纪20年代,Close等^[26]在海原地震发生后对震后滑坡开展了详细考察,为后续黄土地震滑坡灾害研究积累了宝贵资料。16世纪至今,黄土地区共记载较大规模黄土地震滑坡数十余次^[27]。1556年华县大地震^[28-29],1645年天水地震^[30],1718年通渭地震^[31],1920年海原地震^[32-33]以及其余相关地震^[34-35]等均产生了不同程度的黄土地震滑坡灾害。自然资源部2022年《全国地质灾害防治“十四五”规划》中表明,黄土高原地区崩塌滑坡泥石流等自然灾害易发程度较高,多为中易发区及高易发区(图2)。加之黄土高原及周边地区地震频发(图3),使得黄土高原地区地震滑坡灾害严重。

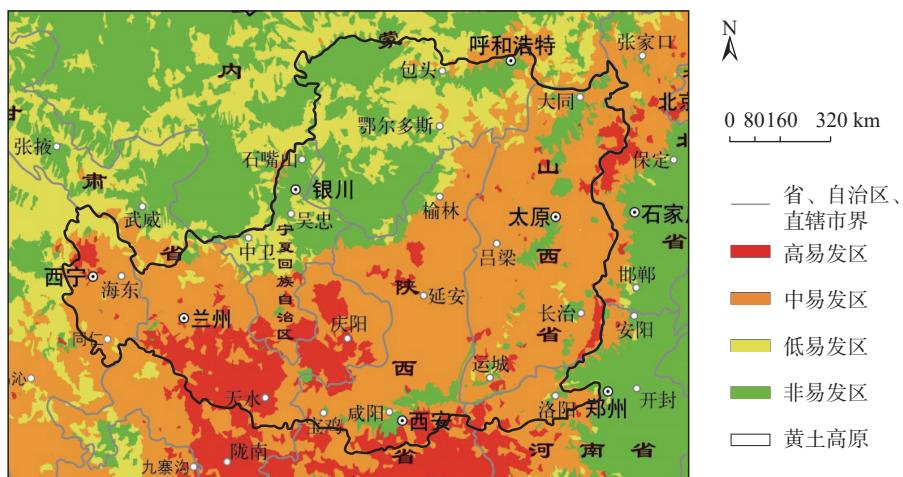
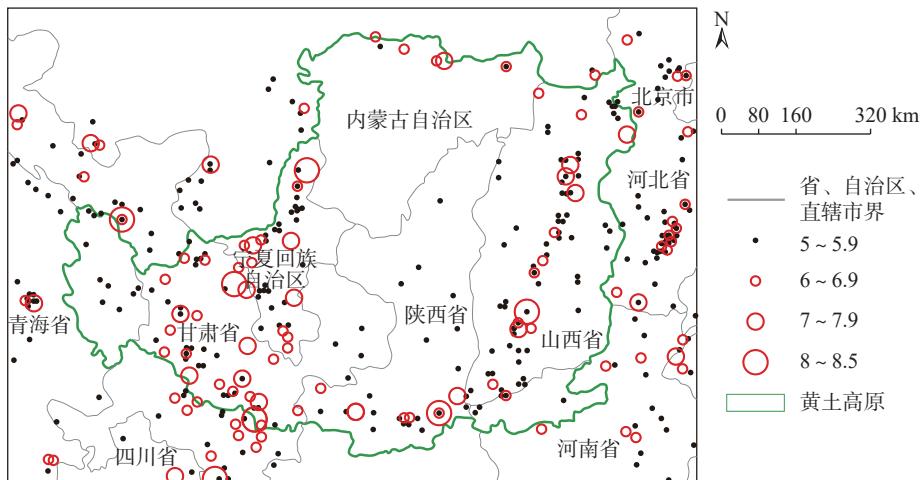


图2 黄土高原地区崩塌、滑坡、泥石流、地面塌陷易发程度图

Fig. 2 Susceptibility to avalanches, landslides, debris flows, and surface collapses in the loess plateau

图3 黄土高原及周边地区地震分布^[36]Fig. 3 Earthquake distribution in the loess plateau and surrounding areas^[36]

国内黄土地震滑坡相关研究主要始于 20 世纪 70 年代末 80 年代初^[27], 随着西北战略地位的不断提高, 黄土地震滑坡相关研究逐渐丰富。直至 20 世纪 90 年代末, 黄雅虹、张振中等研究学者对黄土地震滑坡的形成机理、发育特征进行了大量研究^[17, 37~40]。目前, 关于黄土地震滑坡的研究已然成为一个热点话题。

2 黄土地震滑坡国内外研究现状

王兰民^[27]指出, 黄土因其微结构具有特殊的动力特性, 在地震作用下, 表现出很高的地震易损性。在承受动荷载时, 黄土结构受到破坏并且孔隙水压力上升, 容易出现震陷、液化等现象。在不同动荷载情况下, 黄土表现出的特点有所不同。当动荷载较小时, 黄土以应力应变关系为主, 在大动荷载情况下, 震陷是主要问题, 强

度问题次之^[41]。

2.1 黄土动力特性研究进展

黄土地震滑坡的变形与破坏与黄土本身动力特性有关, 黄土的动力特性影响了黄土边坡破坏的机理和规模。其动力特性往往体现在动模量、动强度、动孔压、阻尼比等参数变化规律之中^[42~44]。Carey 等^[45]通过对原状黄土和重塑黄土进行剪切试验, 讨论了孔隙水压力对黄土试样破坏和体积变化的影响。Wu 等^[46]在同一干密度条件下对宁夏、山西、甘肃三处黄土进行了原状黄土、饱和黄土和重塑黄土的三轴试验, 研究了初始结构参数随围压和含水率的变化情况。Wang 等^[47]基于摩尔-库伦强度准则, 通过动三轴试验研究了西宁、兰州、西吉、西安等地原状黄土的动强度特性。Qiao 等^[48]基于前人研究成果, 对海原黄土进行了研究。研究表明,

该地区黄土物理性质指标变异系数相对稳定,但力学性质较为离散。在前人研究基础上,Wei等^[49]、Wang等^[47-51]对马兰、离石等不同年代黄土的动力特性也进行了研究。为模拟黄土地区反复地震的现象,Liu等^[52]对黄土动荷载预加载,对加载后黄土进行了循环荷载剪切试验。试验结果表明,在不同含水量情况下,黄土的变形和孔隙水压力容易受到预加载应力的影响。Wang等^[53]通过室内动三轴试验,研究了天水地区黄土的动力特性。Cheng等^[54]通过总结前人研究成果,分析了不同地区(兰州、西安、太原等)黄土的动力参数特征,研究了不同固结比、含水率和振次下黄土的动力参数变化趋势。

基于此,黄土地震滑坡研究主要围绕其形成机理^[55-58]、分布与发育特征^[31, 59-62]、稳定性评价和影响因素^[63-64]、边坡动力响应^[65-67]及黄土边坡地震液化^[68-71]等方面。

2.2 黄土地震滑坡形成机理研究进展

国内外研究成果表明^[55, 72-75], 黄土地震滑坡形成机理主要有液化型、剪切型和震陷型3种。液化型黄土滑坡是指饱和或近似饱和的黄土边坡在反复地震动作用下, 土体内部孔隙水压力上升形成超静孔隙水压力导致有效应力降低、土体抗剪强度减弱甚至丧失抗剪能力, 最终导致边坡滑动的现象。黄土震陷型地震滑坡是指完全发生于黄土层中, 没有地下水参与, 土体保留一定的抗剪强度却表现出流滑等宏观特征的滑坡。剪切型地震滑坡是指黄土边坡在地震力的作用下, 边坡内剪应力超过其抗剪强度, 形成贯通弧形剪切带发生滑动, 震陷及液化现象不明显。

2.3 黄土地震滑坡发育特征与分布研究进展

王兰民^[27]通过对1920年海原地震、1718年通渭地震诱发滑坡开展现场考察,发现黄土地震滑坡大致可分为如下三种特征:1. 坡体土质疏松,坡角平缓且规模巨大,土层呈流滑形态表现出震陷崩塌失稳特征;2. 坡体土质密实,滑动面沿黄土、红层交界面或切入第三纪红层内,呈现地震惯性力与重力双重影响下的剪切失稳特征;3. 坡体底部湿度大,土体发生液化表现出液化失稳特征。Shang等^[76]对宁夏西吉县和原州区地震诱发滑坡进行了调查,分析了黄土地震滑坡的空间分布特征,将黄土地震滑坡分为地震力引起的黄土滑坡、地震液化引起的黄土滑坡和震陷-液化型黄土滑坡。Zhong等^[77]应用遥感影像解译的方法,通过GIS探讨了黄土滑坡与影响因子间的关系,阐述了研究区黄土地震滑坡的分布特征。Li等^[78]探讨了1654年天水地震诱发滑坡的

分布特征与地震烈度、震中距、原始坡角和黄土脊走向等参数的关系,提出黄土地震滑坡的滑动方向不仅与黄土脊走向和地震传播方向有关,而且黄土厚度和地层结构可能是控制滑坡发展的主要因素。陈永明等^[79]对1654年天水南地震、1718年通渭地震、1920年海原地震、1927年古浪地震等4次地震诱发147处黄土滑坡调查研究,总结了4次地震滑坡的分布和发育特征。结果表明黄土地震滑坡一般发生在具有一定临空高度和厚度的马兰黄土中,其展布形态较为复杂,空间分布不均匀。王兰民等^[80]通过对海原地震已有震害调查资料和野外调查发现,海原地震作用下黄土地震滑坡往往表现出如下特点:

- ①滑坡密集区斜坡缓，震前稳定性高；
 - ②滑动土体规模大，滑速快，滑距长；
 - ③滑坡成群连片，形成串珠状堰塞湖；
 - ④滑动面大多位于马兰黄土层或黄土-基岩接触面；
 - ⑤黄土液化可以触发大规模黄土滑坡。

2.4 黄土地震滑坡动力响应特征研究进展

在地震作用下,黄土边坡的动力响应特征研究方面,王尚等^[81]通过大型振动台试验,使用时程分析、小波包和反应谱分析相结合的方法,研究了黄土边坡的动力响应特征。张兴臣等^[82]通过振动台试验,分析了地震作用下,黄土边坡的动力响应特征,并将响应过程划分为三个阶段,即弹性阶段、塑型阶段和破坏阶段。张彬等^[83]使用离心机模型试验和 FLAC^{3D} 数值模拟软件,探究了黄土边坡的动力响应特征,提出了估算黄土边坡震陷量的计算方法。孙文等^[84]通过振动台试验,讨论了不同黄土边坡坡度对失稳形态的影响,并讨论了黄土边坡的动力失稳过程^[85]。田欣欣等^[86]采用有限元法,研究了不同黄土暗穴影响下,黄土高边坡路基的动力响应规律,分析了黄土暗穴对路基的动力放大效应。万金侠等^[87]通过大型振动台试验,利用小波分析能量提取工具,讨论了黄土边坡在不同频带的动力响应。邵帅等^[88]通过离心机物理模型试验,研究了黄土边坡不同部位的加速度动力响应,给出了不同部位的放大系数。施艳秋等^[89]在大型振动台物理模型试验的基础上,通过小波分析将土压力时程曲线分解,得到了黄土滑坡的动土压力特征。陈金昌等^[90]通过大型振动台试验,阐述了地震对纯黄土边坡的放大效应,讨论了不同地震烈度下,纯黄土边坡的破坏形式。夏坤等^[91]应用大型振动台模拟试验和 Abaqus 分析软件,探讨了汶川地震作用下,黄土塬平台场地地表加速度的动力响应问题。张泽林等^[92]利用离心机和数值模拟,讨论了地震波振幅对黄土-泥岩

类边坡动力响应的影响规律。

2.5 黄土滑坡地震液化研究进展

在地震作用下,饱和或近似饱和黄土体内产生超静孔隙水压力,使得有效应力降低、抗剪强度减弱,更容易发生滑动。在黄土液化滑坡方面,常晁瑜等^[68]应用PFC^{2D}颗粒流离散单元法,分析了地震液化现象对滑坡运动的影响。张子东等^[69]基于室内试验和数值模拟,阐述了非饱和黄土滑坡的液化过程,表明非饱和黄土层孔压响应具有滞后性。吴志坚等^[70]分析了永光村地震滑坡机理,研究表明震前持续强降雨和地震荷载作用下,黄土层被弱化,表层黄土开始液化并触发滑坡。张晓超等^[71]通过现场勘察和动三轴试验,研究了饱和黄土的液化特性,基于此探讨了党家岔地震滑坡的演化机制。芮雪莲等^[93]通过动三轴试验,分析了黄土动力状态下应力-应变-动孔压关系,探讨了饱和黄土的液化强度和稳态强度,提出了坡体是否发生流滑破坏的判别标准。张晓超等^[94]以石碑塬滑坡黄土为研究对象,通过对黄土试样进行动三轴试验,研究了黄土液化应力比与黄土颗粒组成、土体微观参数和饱和度的关系。Pei等^[95]研究表明饱和松软黄土受循环剪切应力后,超静孔隙水压力不断增大,塑性变形迅速发展且强度降低,并阐述了石碑塬滑坡的形成机理。

2.6 黄土地震滑坡稳定性研究进展

目前,地震边坡稳定性分析方法主要有拟静力法、动力时程分析方法、Newmark 永久位移法,以及拟动力分析方法^[96~100]。地震边坡拟静力分析方法^[22~23, 101~104]将地震惯性力简化为大小不变的力施加于土体使边坡滑动,表现为水平地震力系数 k_h 和垂直地震力系数 k_v ,使土体受水平地震荷载 $F_h = k_h W$ 及垂直地震荷载 $F_v = k_v W$ 。动力时程分析方法直接向模型输入地震动时程,通过数值软件分析地震边坡稳定性。Newmark 永久位移法假设滑动土体为刚体,基于地震动时程计算滑坡地震过程中的永久位移,进而评价地震边坡稳定性。1950 年,Terzaghi^[105]提出,对一般性地震 $k_h=0.1$,对破坏性地震 $k_h=0.2$,对灾难性地震 $k_h=0.5$ 。1966 年,Kramer^[106]考虑竖向地震力和水平地震力的综合影响,讨论了地震边坡的稳定性。之后,Seed^[107~108]基于拟静力法,研究了大坝地震稳定性影响因素和破坏方式,讨论了坝体边坡稳定性和变形的评价方法。拟静力法原理简单,适用性强,广泛应用于我国多个规范^[109~112]及理论研究^[113~114]中。

在地震作用下,黄土边坡稳定性研究方面,袁中夏等^[115]采用 Plaxis 3D 数值模拟软件,通过输入地震动时程、完全流固耦合和强度折减等方法,讨论了地震后不

同降雨工况下高填方边坡的稳定性。李旭东等^[116]使用振动台模型试验和有限元数值模拟的手段,讨论了强震和坡顶建筑共同作用对黄土边坡稳定性的影响。刘畅等^[117]使用并行电法对税湾某黄土地震滑坡进行了精细分层探查,确定了主滑面强度参数,构建了精确数值模型。在此基础上,计算了自然状态、小震和大震情况下边坡的安全稳定性系数,并讨论了该黄土滑坡的变形破坏过程。陈亚光^[118]基于摩尔-库伦强度准则,利用有限元分析软件,采用强度折减法计算了某处黄土边坡的静力、动力安全稳定性系数。闫东晗等^[119]通过拟静力强度折减法,研究了不同地震动强度和岩土体强度指标对黄土-泥岩二元结构型边坡稳定性的影响。孙萍等^[120]通过野外调查、室内试验和 FLAC^{3D} 数值模拟等手段,评价了地震作用下黄土-泥岩类边坡的稳定性。

然而,目前国内通过拟动力法评价黄土地震边坡稳定性的相关研究较少。为进一步深化拟动力法理论研究,推广拟动力法在黄土地震滑坡稳定性评价、黄土地震滑坡灾害范围分析预测、黄土地区震后应急抢险中的应用,对拟动力法相关原理和研究进展进行介绍。

拟动力法作为一种有效简化地震荷载的手段,最初被应用于挡土墙稳定性计算。Steedman 等^[100]首先于 1990 年提出将随机的地震波简化为简谐函数式,见式(1),从基底垂直入射,进而计算得到挡土墙在地震情况下所受土压力的大小。

$$a_h(t) = k_h g \sin(2\pi f t) \quad (1)$$

式中: $a_h(t)$ —t 时刻土体的水平加速度/(m·s⁻²);

k_h —简谐波幅值;

g —重力加速度/(m·s⁻²);

f —简谐波频率/Hz。

之后,Zeng 等^[121]通过对对比离心机模型试验结果与理论结果,进一步证明了拟动力法的合理性。但此时 Steedman 等^[100]忽略了竖向地震力的影响。21 世纪初,Choudhury 等^[122~123]基于拟动力法,考虑竖向地震力计算了动土压力,并讨论了土体、墙体及简谐波参数等变化时的结果。此外,Choudhury 等^[124]通过拟动力法计算了被动土压力条件下刚性挡土墙的旋转位移。Baziar 等^[125]运用拟动力法研究了重力式挡土墙的抗滑稳定性,并与传统的拟静力方法进行了比较。结果表明,拟静力法可能会过于保守,特别是当地震动强度较高时。Yan 等^[126]假设墙后土体中的破坏面为对数螺旋曲面和平面的组合,结合拟动力法推导了地震情况下墙后主动

土压力的分布,并讨论了初始相位、放大系数和内摩擦角对地震主动土压力的影响。

通过描述地震波在土体中的传播过程(图 4),可以计算出土体单元任意时刻所受的地震力,见式(2)。拟动力法考虑了地震波的波动特性,较拟静力法更符合实际^[125, 127~131]。

$$F_h(z, t) = \rho b_i k_h g \sin \left[2\pi f \left(t - \frac{H-z}{v_s} \right) \right] dz \quad (2)$$

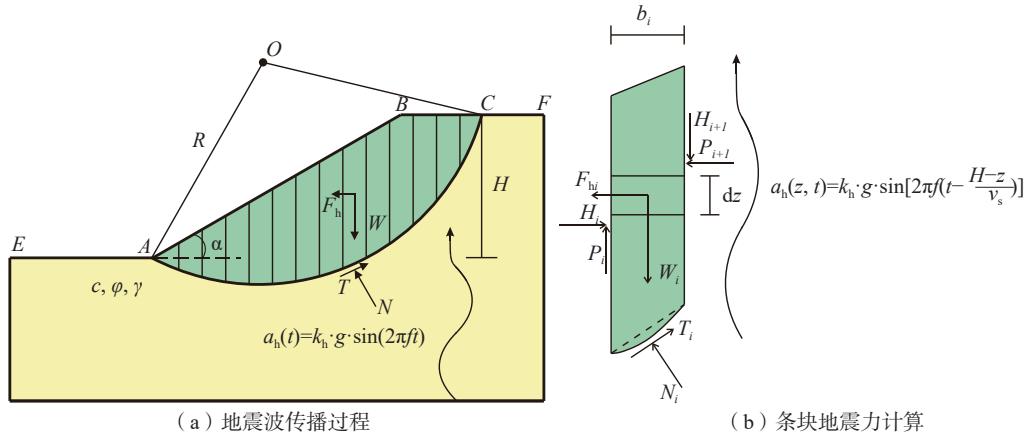


图 4 拟动力法的地震波传播过程与条块地震力计算

Fig. 4 Seismic wave propagation process and strip seismic force calculation using the pseudo-dynamic method

对式(2)沿条块积分,见式(3),即可得到条块的地震力合力,从而计算边坡的地震安全系数。

$$F_{hi} = \int_{z_1}^{z_2} \rho b_i k_h g \sin \left[2\pi f \left(t - \frac{H-z}{v_s} \right) \right] dz \quad (3)$$

式中: F_{hi} ——条块 i 所受的水平地震力/kN;
 z_1 、 z_2 ——条块 i 的底、顶部距坡顶的深度/m。

2013 年,Chakraborty 等^[132]采用拟静力法与拟动力法,在不同水位条件和坝体填充条件下,分析了印度某尾矿坝典型断面的边坡地震稳定性。同年,阮晓波等^[133]基于拟动力法,研究了水平和垂直地震荷载、裂缝积水深度、坡顶荷载及锚索等情况下,受锚固岩质边坡的地震稳定性。Ruan 等^[134]采用拟动力法,计算了城市生活垃圾填埋场护坡的稳定系数,探讨了地震系数、放大系数、护坡参数及填埋深度等参数的影响。Zhou 等^[135]提出了一种评价地震滑坡稳定性的位移—拟动力模型,该模型采用正弦波模拟地震位移,并讨论了放大系数、滑体物理力学特性、惯性力和阻尼力对地震边坡稳定性的影响。卢玉林等^[136]结合极限平衡理论和拟动力法,研究了渗流条件下的砂土边坡稳定性。

随着进一步研究,学者发现拟动力法与传统的边坡

式中: $F_h(z, t)$ ——深度 z 处土体单元在 t 时刻所受的水平地震力/kN;

z ——自坡顶起微元的深度/m;

ρ ——土体密度/(kg·m⁻³);

b ——微元宽度/m;

f ——简谐波频率/Hz;

H ——边坡高度/m;

v_s ——剪切波速/(m·s⁻¹)。

稳定性评价方法具有较好的适应性。邓亚虹等^[137]基于拟动力法和极限平衡法,得到了边坡地震力计算公式和边坡安全系数计算公式,讨论了拟静力法和拟动力法的计算结果与边坡坡高比的关系。杨楠等^[138]基于拟动力法和剩余推力法,分析了地震力放大作用影响下边坡的稳定性。蒋青江等^[139]结合拟动力法和 Sarma 法,提出了一种适用于任意滑面和任意条块划分的地震边坡稳定性分析方法。宋桂峰等^[140]在极限分析上限法的基础上,通过拟动力法,研究了一种含拉张裂缝的顺层岩质边坡的安全稳定性。Zhao 等^[128]采用 Barton-Bandis 破坏准则并基于改进的拟动力法,评价地震情况下岩质边坡的稳定性。Basha 等^[129~130]提出了一种基于拟动力法评估加筋土结构稳定性的方法,并考虑了不同土体参数、地震动参数及结构物参数的影响。Zhou 等^[131]结合极限平衡法以及拟动力法提出了一种三维边坡稳定性分析方法,并分析了土体参数对地震边坡稳定性的影响。

目前,除传统的考虑放大系数的拟动力法外,Belzezza^[141]于 2014 年提出了一种考虑土体阻尼系数的改进拟动力法。该方法将土体视为 Kelvin-Voigt 型材料,考虑了土体的阻尼特性和放大特性。随后,Chanda

等^[142]、Pain 等^[143]、Qin 等^[144]、李雨浓等及其他研究者^[142-148]对该方法进行了运用和推广。例如,李雨浓等^[145]结合极限分析上限定理及改进拟动力法,分析了三维桩加固边坡的安全稳定性。陈立伟等^[148]基于改进拟动力法,推导了不同工况下岩石边坡的地震抗倾覆稳定性计算公式。综上所述,拟动力法在评价地震边坡稳定性方面,具有广阔的应用前景和重要的实际意义。

3 基于拟动力法开展黄土地震滑坡研究的展望

目前,国内外基于拟动力法开展黄土地震滑坡的相关研究较少。从拟动力法基本原理上看,其将随机地震波简化为简谐波,通过调整简谐波幅值、频率、波速和放大系数等参数,可描述不同能量大小和频率特征地震波对黄土边坡的影响。拟动力法在拟静力法的基础上创新性明显,原理较时程分析方法简单且计算量更小,可以与剩余推力法、Samar 法和 Barton-Bandis 破坏准则等理论有机结合,在黄土地震边坡稳定性评价、黄土地震滑坡形成机理等方面具有广阔的应用场景。

在当前的拟动力分析方法和黄土地震滑坡研究中,还存在一些关注的热点和尚未解决的科学问题:

(1)无论是拟静力法还是拟动力法,在分析地震边坡稳定性时,其结果可靠度与地震动参数密切相关。拟静力法中,通过地震烈度或对设计地震加速度代表值修正确定地震力系数^[50, 55-56]。该方法极大程度地简化了地震荷载,保障了工程的顺利运营,经过长时间的理论研究和实践验证。然而,拟动力分析方法起步较晚,如何选取拟动力法特征参数还有待研究。Steedman^[45]提出通过地震动周期计算简谐波频率,通过弹性波动理论计算剪切波速 $v_s = (G/\rho)^{0.5}$, 简谐波幅值则依据地震烈度或选取峰值加速度。后续的研究中,大多采取了这一原则。然而,地震力毕竟是一种复杂的随机荷载,通过简谐波对地震波简化的合理性以及如何选取简谐波特征参数仍需进一步研究。

(2)拟动力分析方法起源于挡土墙研究,截至目前,在地震边坡领域已有大量的应用并将理论进一步深化。无论是岩质边坡、土质边坡,加筋土、桩加固边坡,及降雨渗流耦合作用等特殊工况下,均有相关拟动力法研究。然而,国内外基于拟动力法的黄土地震滑坡研究鲜有报道。黄土地区沟壑纵横,地震频发,地震滑坡易发性高。拟动力法较拟静力法和时程分析法优势明显,可以很好地评价黄土边坡地震稳定性,为黄土地区防震减灾服务。然而黄土土性较为特殊,如何在这一过程中将拟动力分析方法合理运用是地质工作者和设计人员

需要解决的问题。解决上述问题后,基于拟动力分析方法可以更好地研究黄土地震滑坡形成机理、发育特征与分布、地震滑坡稳定性、动力响应特征和地震液化。

(3)基于极限平衡法的地震边坡拟动力分析方法主要关注地震滑坡稳定性系数 F_s , 忽视了简谐波的作用时长。但是基于拟动力法和数值模拟分析地震滑坡稳定性和变形时,必须考虑简谐波持时。实际地震过程中,地震动强度往往是先增加后减小。如何定义简谐波的持续时间或循环次数仍待讨论,既使得拟动力分析方法的稳定性系数合理,也使得边坡变形与实际地震动作用下接近。

4 结语

随着我国一系列重大战略的实施,黄土地区地位日渐显著。由于黄土边坡的特殊性,易于在地震作用下发生大规模滑动造成人员伤亡和财产损失。然而,黄土地震滑坡受多种因素影响,形成机理十分复杂,需要进一步开展对黄土地震滑坡的研究工作。开展黄土地震滑坡研究,需要采用室内试验、物理与数值模型试验、野外调研、遥感与监测等手段,从黄土动力特性出发,深入研究黄土地震滑坡形成机理,探讨黄土地震滑坡动力响应特性,分析黄土地震滑坡发育特征。在评价地震滑坡稳定性方面,目前主要有拟静力法、拟动力法、动力时程分析方法和 Newmark 永久位移法。为提升黄土地区抗灾抗震水平,推动拟动力法在黄土地震边坡稳定性分析中的应用,本文介绍了拟动力分析方法并对基于拟动力法开展黄土地震滑坡研究进行了展望,可为防治黄土地震滑坡灾害提供依据。

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